

A	B	C	D	E	F	G	H	I	J	K	L
ACCOUNT	TRADE DATE	SETTLEMENT DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CU	PRICE (\$)	AMOUNT (\$)	HAL BALANCE (\$)*	COMM (\$)	TYPE
2	9362	08/21/2012	08/24/2012	Sold (Cancel)	71,712.00	ALLIANCE BANK JSC REG S ALLIANCE BANK JSC REG S 4.70 DUE 03/25/2020 M&S 25 RATE:CAA2/B-4.700% DUE:2020-03-25 Ref: 2350431 SEC ID: CL405	Y00377AL8	62.75	-49,031.61	508,934.36	Cash
15	9362	08/21/2012	08/24/2012	Sold	71,712.00	ALLIANCE BANK JSC REG S RATE:CAA2/B-4.700% DUE:2020-03-25 Ref: 2354231 SEC ID: CL405	Y00377AL8	62.75	48,184.57	557,965.97	Cash
16	9362	08/21/2012	12/31/9999	Name Change To		KAZKOMMERTSBANK REG S RATE:B2/B+ 7.500% DUE:2016-11-29 Ref: 23458466 SEC ID: C8C66	Y4593EAHO			509,781.40	Cash
17	9362	08/21/2012	08/24/2012	Sold	100,000.00	KAZKOMMERTS INTERNATIONAL BV REG S *DUPE SETUP USE C8C66* RATE:B2/B+ 7.500% DUE:2016-11-29 Ref: 234154X8 SEC ID: C6Y5K	N48272AL7	87.00	88,764.83	509,781.40	Cash
18	9362	08/21/2012	12/31/9999	Name Change From		KAZKOMMERTS INTERNATIONAL BV REG S *DUPE SETUP USE C8C66* RATE:B2/B+ 7.500% DUE:2016-11-29 Ref: 23458465 SEC ID: C6Y5K	N48272AL7			421,016.57	Cash
19	9362	08/21/2012	08/24/2012	Sold	122,909.00	BITA BANK JSC RECOVERY NOTES RATE:N/A/N/A DUE:2020-06-30 Ref: 234718X7 SEC ID: C6Y29	Y0994H137	7.50	9,212.18	421,016.57	Cash
20	9362	08/21/2012	08/24/2012	Sold	71,712.00	ALLIANCE BANK JSC REG S RATE:CAA2/B-4.700% DUE:2020-03-25 Ref: 234125X4 SEC ID: CL405	Y00377AL8	62.75	49,031.61	411,804.39	Cash
21	9362	08/21/2012	08/24/2012	Sold	50,000.00	ALLIANCE ONE INTERNATIONAL, INC. RATE:B3/B-10.000% DUE:2016-07-15 Ref: 234578W8 SEC ID: BEV51	018772AM5	100.50	50,785.67	362,772.78	Cash
22	9362	08/21/2012	08/24/2012	Sold	66,916.00	ALLIANCE BANK JSC RATE:CAA2/B-10.500% DUE:2017-03-25 Ref: 234105X8 SEC ID: A4R3K	Y00377AL8	86.25	60,617.11	311,987.11	Cash
23	9362	08/21/2012	08/24/2012	Sold	13,480.00	BITA BANK JSC RATE:C/N/A 7.200% DUE:2025-07-01 Ref: 234133X6 SEC ID: A225Q	05574YAB6	5.00	810.89	251,370.00	Cash
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9/14/2012

REDACTED

	A	B	C	D	E	F	G	H	I	J	K	L
	ACCOUNT	TRADE DATE	SETTLEMENT DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CU SIP	PRICE (\$)	AMOUNT (\$)	BALANCE (\$)*	COMM (\$)	TYPE
2	19362	08/20/2012	08/20/2012	Dividend		DEUTSCHE BK CONT CAP III 7.60% Ref: 23305000 SEC ID: CEW69	DTK		2,375.00	250,559.11		Cash
25	19362	08/20/2012	08/20/2012	Transfer Out		WIRED FUNDS SENT BENE: WALDYR DA SILVA PRADO ACC 3043 Ref: 23305268			-690,000.00	248,184.11		Cash
26	19362	08/20/2012	08/20/2012	Online Transfer		FUNDS TRANSFERRED CONFIRMATION # 4528933 FROM 49292 Ref: 23328933			270,000.00	938,184.11		Cash
27	19362	08/17/2012	08/22/2012	Sold	15,000.00	FAIRFAX FINANCIAL HLDGS RATE: BAA3/BBB- 8.300% DUE: 2026- 04-15 Ref: 230641H8 SEC ID: TG175	303901A88	111.50	17,158.21	668,184.11		Cash
28	19362	08/17/2012	08/22/2012	Sold	17,000.00	ARMOUR RESIDENTIAL RET INC VPE20120817115337041453 Ref: 2301323 SEC ID: CIM50	ARR	7.29	123,418.02	651,025.90		Long Margin
29	19362	08/17/2012	08/22/2012	Sold	5,000.00	DEUTSCHE BK CONT CAP III 7.60% PREFERENTIAL RATE Ref: 23019578 SEC ID: CEW69	DTK	26.00	129,983.58	527,607.88		Long Margin
30	19362	08/17/2012	08/22/2012	Sold	400.00	LEAGUE HOSPITALITY PPTYS & 25A PREFERENTIAL RATE Ref: 230M5934 SEC ID: CDY08	EHPTP	1.95	723.98	397,624.30		Cash
31	19362	08/17/2012	08/22/2012	Sold	53.00	AMERICAN INTL GRP INC WT 11921 PREFERENTIAL RATE Ref: 23016457 SEC ID: B4L29	ATG	13.05	635.63	396,900.32		Long Margin
32	19362	08/17/2012	08/22/2012	Sold	8,000.00	AGIC CONV & INCOME FD II COM PREFERENTIAL RATE Ref: 23011114 SEC ID: A99XL	NCZ	8.52	67,686.07	396,264.69		Long Margin
33	19362	08/17/2012	08/22/2012	Sold	2,000.00	AMERICAN CAPITAL AGENCY PREFERENTIAL RATE Ref: 23015242 SEC ID: AYB88	AGNC	33.60	67,074.09	328,578.62		Long Margin
34	19362	08/17/2012	08/22/2012	Sold	1,000.00	AMBAC FINCL GP INC PREFERENTIAL RATE Ref: 23014780 SEC ID: ABF96	ABKFQ	0.02	14.84	261,504.53		Cash
35	19362	08/16/2012	08/21/2012	Sold (trebook)	30,000.00	CAPITAL ONE CAP III ACCT TYPE CHANGE RATE: BAA3/BBB+ 7.686% DUE: 2036-08-15 Ref: 230W2939 SEC ID: TK222	14042BA44	99.75	29,957.43	261,489.69		Long Margin
36												

A	B	C	D	E	F	G	H	I	J	K	L
ACCOUNT	TRADE DATE	SETTLEMENT DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CU SIP	PRICE (\$)	AMOUNT (\$)	BALANCE (\$)*	COMM (\$)	TYPE
2	3362	08/16/2012	08/21/2012	Sold (Cancel)	30,000.00	CAPITAL ONE CAP III CAPITAL ONE CAP III 7.686 DUE 08/15/2036 F&A 15 RATE:BAAS/BB+ 7.686% DUE:2036-08- 15 Ref: 230W2938 SEC ID: TK222	14042BAAA	99.75	-29,957.43	231,532.26	150.30 Cash
37	3362	08/16/2012	08/21/2012	Sold (Rebook)	15,000.00	NB CAPITAL TRUST II ACCT TYPE CHANGE RATE:BA2/BB+ 7.830% DUE:2026-12-15 Ref: 230W2937 SEC ID: TAO12	628958AB6	101.00	15,359.33	261,489.69	Long Margin
38	3362	08/16/2012	08/21/2012	Sold (Cancel)	15,000.00	NB CAPITAL TRUST II NB CAPITAL TRUST II 7.83 DUE 12/15/2026 J&D 15 RATE:BA2/BB+ 7.830% DUE:2026- 12-15 Ref: 230W2936 SEC ID: TAO12	628958AB6	101.00	-15,359.33	246,130.36	Cash
39	9362	08/16/2012	08/21/2012	Sold	30,000.00	BON-TON DEPT STORES INC RATE:CAAS/CCC 10.250% DUE:2014- 03-15 Ref: 2291696Y SEC ID: TN034	09776NAB8	87.75	27,651.50	261,489.69	Cash
40	9362	08/16/2012	08/21/2012	Sold	30,000.00	CAPITAL ONE CAP III RATE:BAAS/BB+ 7.686% DUE:2036-08-15 Ref: 2298640X SEC ID: TK222	14042BAAA	99.75	29,957.43	233,838.19	150.30 Cash
41	9362	08/16/2012	08/21/2012	Sold	10,000.00	COUNTRYWIDE CAPITAL III CO RATE:BA2/BB+ 8.050% DUE:2027-06- 15 Ref: 2291894Y SEC ID: TD591	22237AAB2	113.09	11,450.88	203,880.76	Cash
42	9362	08/16/2012	08/21/2012	Sold	40,000.00	FAIRFAX FINANCIAL HOLDINGS RATE:BAAS/BBB- 7.750% DUE:2037- 07-15 Ref: 2291934Y SEC ID: TD212	303901AE2	105.75	42,604.00	192,429.88	Cash
43	9362	08/16/2012	08/21/2012	Sold	15,000.00	PROVIDENT FINANCING TR I COMPANY GUARANTEE RATE:BAAS/BB 7.405% DUE:2038-03- 15 Ref: 2292033Y SEC ID: TD099	743863AA0	87.00	13,525.32	149,825.88	Cash
44	9362	08/16/2012	08/21/2012	Sold	15,000.00	NB CAPITAL TRUST II RATE:BA2/BB+ 7.830% DUE:2026-12-15 Ref: 2291985Y SEC ID: TAO12	628958AB6	101.00	15,359.33	136,300.56	Cash
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	A	B	C	D	E	F	G	H	I	J	K	L
	ACCOUNT	TRADE DATE	SETTLEMENT DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CU SIP	PRICE (\$)	AMOUNT (\$)	BALANCE (\$)*	COMM (\$)	TYPE
2	9362	08/16/2012	08/21/2012	Sold	34.00	OCEAN RIG UDW INC VPF20120816082830278161 Ref:	ORIG	15.78	480.50	120,941.23	50.00	Long Margin
46	9362	08/16/2012	08/21/2012	Sold	100.00	AMER INTL GP INC NEW VPF20120816082414540513 Ref: 229V9399 SEC ID: CHL23	AIQ	34.25	3,368.92	120,460.73	50.00	Long Margin
47	9362	08/16/2012	08/21/2012	Sold	6,000.00	FRONTIER COMMUNICATIONS CORP VPF20120816082642136152 Ref: 2292F045 SEC ID: CFI70	FTR	4.76	28,226.92	117,091.81	326.44	Long Margin
48	9362	08/16/2012	08/21/2012	Sold	6,000.00	FIFTH STREET FINANCE CORP COM VPF20120816082539479100 Ref: 2298E225 SEC ID: CCE35	FSC	10.40	62,032.60	88,864.89	360.00	Long Margin
49	9362	08/16/2012	08/21/2012	Sold	35,000.00	UIT2 FUNDING PLC FX RATE EUR 1.2356 RATE:BJ/C 5.321% DUE:2016-06-30 Ref: 2292928Y SEC ID: B1F4F	G9309QAA2	111.82	39,131.63	26,832.29		Cash
50	9362	08/16/2012	08/21/2012	Sold	100,000.00	PRIVATEBANK (UK SPV CRED) RATE:BI/N/A 9.375% DUE:2015-09-23 Ref: 2292009Y SEC ID: AASQ9	G91941AC1	87.50	91,348.17	-12,299.34		Cash
51	9362	08/16/2012	08/21/2012	Sold	1,500.00	IGAS NATURAL INC COM VPF20120816082714402190 Ref: 2293F612 SEC ID: AW11N	EGAS	9.88	14,723.66	-103,647.51	90.00	Long Margin
52	9362	08/16/2012	08/21/2012	Sold	2,000.00	LAFARGE VPF20120815213444686640 Ref: 22901895 SEC ID: AVB49	LFRGY	11.65	23,173.47	-118,371.17	120.00	Long Margin
53	9362	08/16/2012	08/21/2012	Sold	2,000.00	LLOYDS BANKING GROUP PLC VPF20120816082756746482 Ref: 22941564 SEC ID: ARL60	LVG	2.03	3,963.25	-141,544.64	90.65	Long Margin
54	9362	08/15/2012	08/15/2012	Interest		CAPITAL ONE CAP III RATE:BAAS/BB+ 7.686% DUE:2036-08-15 Ref: 22830000 SEC ID: TK222	14042BAAA4		1,152.90	-145,507.89		Cash
55	9362	08/07/2012	08/10/2012	Sold (Rebook)	100,000.00	GRUPE BPCE FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08-10 Ref: 22092378 SEC ID: UP013	F11494AA3	103.75	108,263.89	-146,660.79		Cash
56												

	A	B	C	D	E	F	G	H	I	J	K	L
	ACCOUNT	TRADE DATE	SETTLEMENT DATE	ACTIVITY	QUANTITY	DESCRIPTION	SYMBOL/CU SIP	PRICE (\$)	AMOUNT (\$)	BALANCE (\$)*	COMM (\$)	TYPE
2	9362	08/07/2012	08/10/2012	Sold (cancel)	100,000.00	GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08- 10 Ref: 220923T8 SEC ID: UP013	F11494AA3	103.75	-108,263.89	-254,924.68		Cash
57	9362	08/07/2012	08/10/2012	Sold (Rebook)	100,000.00	GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08- 09 Ref: 220923T8 SEC ID: UP013	F11494AA3	103.75	108,263.89	-146,660.79		Cash
58	9362	08/07/2012	08/10/2012	Sold (cancel)	100,000.00	GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08- 09 Ref: 220923T8 SEC ID: UP013	F11494AA3	103.75	-108,263.89	-254,924.68		Cash
59	9362	08/07/2012	08/10/2012	Sold (Rebook)	100,000.00	GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08- 09 Ref: 220923T8 SEC ID: UP013	F11494AA3	103.75	108,263.89	-146,660.79		Cash
60	9362	08/07/2012	08/10/2012	Sold (Cancel)	100,000.00	GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08- 08 Ref: 220923T8 SEC ID: UP013	F11494AA3	103.75	-108,263.89	-254,924.68		Cash
61	9362	08/07/2012	08/10/2012	Sold	100,000.00	GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER GROUP BPC FIXED COUPON TO 09/30/2019 FLOAT THEREAFTER RATE:BA2/N/A 12.500% DUE:2052-08- 08 Ref: 220923T8 SEC ID: UP013	F11494AA3	103.75	108,263.89	-146,660.79		Cash
62	9362	08/06/2012	08/06/2012	CASH TRANSFER		FUNDS TRANSFERRED CONFIRMATION # 4323133 TO 658- 49292 Ref: 21923133			-57,000.00	-254,924.68		Cash
63	9362	08/01/2012	08/01/2012	Dividend		AGIC CONV & INCOME FD II COM Ref: 21408000 SEC ID: A99XL	NCZ		680.00	-197,924.68		Cash
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REDACTED

9/14/2012

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August 08, 2012

To: Morgan Stanley Smith Barney

From: Waldyr da Silva Prado Neto

670

Dear Sirs,

REDACTED

Please transfer from my account 3362 with you the amount of USD 690,000.00 (Six Hundred Ninety Thousand Dollars) to:

Bank: BANK OF AMERICA NA
100 West 33rd Street - 4th floor
New York, NY 10001 - U.S.A.

Swift Code: BOFAUS3N

ABA Number: 026009593

Chips Participant: 959

Account name: BANCO PAULISTA S/A - São Paulo - SP / Brazil

Account number: :3043


Swift Code: PAULBRSP

Beneficiary: Waldyr da Silva Prado Neto

subject: Transferencia Patrimonial

REDACTED

Thank you very much,


Waldyr da Silva Prado Neto

confirmed with waldyr prado
on 8/20/12 at 11:00 AM by phone

ph 011 5511 981095050

RECEIVED
AUG 20 2012
MSSB

Berthe-Martinez, Lorena D (Wealth Mgmt MS)

From: Novaes, Mariana (Wealth Mgmt MS)
Sent: Monday, August 20, 2012 12:38 PM
To: Berthe-Martinez, Lorena D (Wealth Mgmt MS)
Subject: FW: Transfers 2
Attachments: TransferI0820.jpg; TransferII0820.jpg

Here you go....

Mariana Novaes
Registered Associate
Morgan Stanley Smith Barney
201 S. Biscayne Blvd. Suite 2100 Miami, FL 33131
Tel: 786-777-6933 Fax: 305-376-8593
Toll-free: 0800-891-3831

From: wspn@uol.com.br [<mailto:wspn@uol.com.br>]
Sent: Monday, August 20, 2012 11:21 AM
To: Novaes, Mariana (Wealth Mgmt MS)
Cc: wspn@uol.com.br
Subject: Transfers 2

RECEIVED
AUG 20 2012
MSSB

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ABA Number: 026009593

Chips Participant: 959

Account name: BANCO PAULISTA S/A - São Paulo - SP / Brazil

Account number: 33043

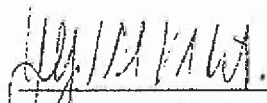
REDACTED

Swift Code: PAULBRSP

Beneficiary: Waldyr da Silva Prado Neto

subject: Transferencia Patrimonial

Thank you very much,


Waldyr da Silva Prado Neto

confirmed with waldyr prado
on 8/20/12 at 11:00 AM by phone.

ph 011 5511 981 085050

RECEIVED
AUG 20 2012
MSSB

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To: Berthe-Martinez, Lorena D (Wealth Mgmt MS)
Subject: FW: Transfers 2
Attachments: TransferI0820.jpg; TransferII0820.jpg

Here you go....

Mariana Novaes
Registered Associate
Morgan Stanley Smith Barney
201 S. Biscayne Blvd. Suite 2100 Miami, FL 33131
Tel: 786-777-6933 Fax: 305-376-8593
Toll-free: 0800-891-3831

From: wspn@uol.com.br [<mailto:wspn@uol.com.br>]
Sent: Monday, August 20, 2012 11:21 AM
To: Novaes, Mariana (Wealth Mgmt MS)
Cc: wspn@uol.com.br
Subject: Transfers 2

RECEIVED
AUG 20 2012
MSSB